



#5 10-1-03 M

Applicant(s): Anthony Vaughan BARTRAM

Attorney Docket No.: P/63133

Serial No.: 09/989,087

Group Art Unit:

Filed: November 21, 2001

Examiner:

Title: A COMMUNICATION SYSTEM

RECEIVED

SEP 2 3 2003

Commissioner for Patents Washington, D.C. 20231

Technology Center 2100

## **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

<u>X</u>	under 37 CFR 1.97(b), or
	(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a:
	Statement under 37 CFR 1.97(e), or
	a \$180.00 fee under 37 CFR 1.17(p), or
	(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a:
	Statement under 37 CFR 1.97(e), and
	a \$180.00 fee set forth in 37 CFR 1.17(p).
	(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
	before payment of the issue fee

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor

render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: September 16, 2003

Typed Name: Lori G. Witkin

Signature: /

Respectfully submitted,

Alan Israel

Attorney/Agent for Applicant(s)

Reg. No. 27564

Date: September 16, 2003

Telephone No.: 212-697-3750

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Co	omplete if Known
Application Number	09/989,087
Filing Date	November 21, 2001
First Named Inventor	Anthony Vaughan BARTRAM
Group Art Unit	
Examiner Name	
Attorney Docket Number	P/63133

				U.S. PATENT DOCUMEN	TS	
Examiner	Cite	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant
Initials*	No.¹	Number	Kind Code <sup>2</sup> (if known)	of Cited Document	cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
		5,912,973		Hiramatsu, et al.	06/15/1999	
		5,412,730		Jones	05/02/1995	
			-			
						RECEIVED
						SEP 2 3 2003
					T	echnology Center 2100
				<del></del>	-	
	<del></del>				<del> </del>	
		<del> </del>				

				FORE	IGN PATENT DOCUMENTS	3		
Examiner	Cite No.1	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	
Initials*		Office <sup>3</sup>	Number <sup>4</sup> .	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Τ°
		2 339	121 A		United Kingdom	01/12/2000		
		0 446	194 A1		European	09/11/1991		
		WO 9	9/12310		PCT	03/11/1999		
		WO 9	6/17467		PCT	06/06/1996		
		WO 9	5/06373		PCT	03/02/1995		
		JP 20	00005223		Japan	01/07/2000		
		JP 41	17038		Japan	04/17/1992		
							-	

Examiner Date Signature Considered			
		Date	
	Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Fled Lion Act of 1995, no persons	U.S. Paten are required to respond to a collection	PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031 at and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number.		
Substitute for form 1449B/PTD SEP 1 6 2003	Complete if Known			
INFORMATION DISCLOSURE	Application Number	09/989,087		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	November 21, 2001		
CIAILIVILIAI DI AMBANI	First Named Inventor	Anthony Vaughan BARTRAM		

**Group Art Unit** 

**Examiner Name** 

**Attorney Docket Number** 

P/63133

(use as many sheets as necessary)

2

2

Sheet

Examiner

Signature

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
		Peyravian, Matyas, Zunic: Decentralized Group Key Management for Secure Multicast Communications, COMPUTER COMMUNICATIONS, April 29, 1999, pp. 1185 - 1186.					
		Hugo, Krawczyk: Skeme: A Versatile Secure Key Exchange Mechanism for Internet, PROCEEDINGS OF SNDSS. IEEE, 1996, IBM T.J. Watson Research Center, p. 115.					
		Peyravian, Matyas, Roginsky, Zunic: Generating User-Based Cryptographic Keys and Random Numbers, COMPUTERS & SECURITY, 1999, p. 620.					
		Library of Congress Cataloging0in-Publication Dada, Menezes, A. J., 1965, Handbook of Applied Cryptography, pp. 25-27.					
		RECEIVED					
		SEP 2 3 2003					
		Technology Center 2100					

Date

Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.